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# NOTICE OF ALLOWANCE AND FEE(S) DUE

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04/30/2008

THOMAS, KAYDEN, HORSTEMEYER & RISLEY, LLP 600 GALLERIA PARKWAY, S.E. STE 1500 ATLANTA, GA 30339-5994

EXAMINER

VORTMAN, ANATOLY

ART UNIT PAPER NUMBER

2835

DATE MAILED: 04/30/2008

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/538,308	03/09/2006	Boris Gautier	80350-1370	3553

TITLE OF INVENTION: DEVICE FOR PROTECTION AGAINST SURGE VOLTAGES

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$720	\$300	\$0	\$1020	07/30/2008

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

#### PART B - FEE(S) TRANSMITTAL

### Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450

Alexandria, Virginia 22313-1450 (571)-273-2885 or <u>Fax</u>

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications. Note: A certificate of mailing can only be used for domestic mailings of the CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address) Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission. 24504 04/30/2008 Certificate of Mailing or Transmission THOMAS, KAYDEN, HORSTEMEYER & RISLEY, LLP I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below. 600 GALLERIA PARKWAY, S.E. STE 1500 ATLANTA, GA 30339-5994 (Depositor's name (Signature (Date APPLICATION NO. FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. FILING DATE 10/538,308 03/09/2006 Boris Gautier 80350-1370 3553 TITLE OF INVENTION: DEVICE FOR PROTECTION AGAINST SURGE VOLTAGES APPLN. TYPE SMALL ENTITY ISSUE FEE DUE PUBLICATION FEE DUE PREV. PAID ISSUE FEE TOTAL FEE(S) DUE DATE DUE nonprovisional YES \$720 \$300 \$0 \$1020 07/30/2008 **EXAMINER** ART UNIT CLASS-SUBCLASS VORTMAN, ANATOLY 2835 337-342000 1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). 2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. or agents OR, alternatively, (2) the name of a single firm (having as a member a ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed. 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment. (A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STATE OR COUNTRY) 4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above) 4a. The following fee(s) are submitted: lssue Fee A check is enclosed. Publication Fee (No small entity discount permitted) Payment by credit card. Form PTO-2038 is attached. The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number \_\_\_\_\_\_ (enclose an extra copy of this fo Advance Order - # of Copies \_ (enclose an extra copy of this form). 5. Change in Entity Status (from status indicated above) a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ■ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2). NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office. Authorized Signature Date Typed or printed name Registration No. This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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10/538,308	03/09/2006	Boris Gautier	80350-1370	3553
24504 75	24504 7590 04/30/2008		EXAMINER	
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600 GALLERIA PARKWAY, S.E.			ART UNIT	PAPER NUMBER
STE 1500 ATLANTA, GA 3	0339-5994		2835 DATE MAILED: 04/30/200	_

# Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 357 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 357 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

	Application No.	Applicant(s)
	10/538,308	GAUTIER, BORIS
Notice of Allowability	Examiner	Art Unit
	ANATOLY VORTMAN	2835
The MAILING DATE of this communication appeal claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIOF the Office or upon petition by the applicant. See 37 CFR 1.313	(OR REMAINS) CLOSED in this appropriate communication GHTS. This application is subject and MPEP 1308.	oplication. If not included on will be mailed in due course. <b>THIS</b>
1. This communication is responsive to application filed on 03	<u>3/09/2006</u> .	
2. ☑ The allowed claim(s) is/are <u>1-8</u> .		
3.  Acknowledgment is made of a claim for foreign priority ur  a)  All b)	e been received. e been received in Application No cuments have been received in this of this communication to file a reply lENT of this application.  itted. Note the attached EXAMINER es reason(s) why the oath or declar of the submitted. con's Patent Drawing Review (PTC) es Amendment / Comment or in the  184(c)) should be written on the draw	c national stage application from the complying with the requirements  R'S AMENDMENT or NOTICE OF ration is deficient.  D-948) attached  Office action of rings in the front (not the back) of
each sheet. Replacement sheet(s) should be labeled as such in to the depo of the depo attached Examiner's comment regarding REQUIREMENT	he header according to 37 CFR 1.121 sit of BIOLOGICAL MATERIAL	must be submitted. Note the
<ul> <li>Attachment(s)</li> <li>1.  Notice of References Cited (PTO-892)</li> <li>2.  Notice of Draftperson's Patent Drawing Review (PTO-948)</li> <li>3.  Notice of Draftperson's Patent Drawing Review (PTO-948)</li> <li>3.  Notice of Draftperson's Patent Drawing Review (PTO-948)</li> <li>4.  Notice of Draftperson's Patent Drawing Review (PTO-948)</li> <li>5.  Notice of Draftperson's Patent Drawing Review (PTO-948)</li> <li>6.  Notice of Draftperson's Pat</li></ul>	5. Notice of Informal 6. Interview Summar Paper No./Mail Da 7. Examiner's Amend 8. Examiner's Statem 9. Other /Anatoly Vortman/ Primary Examiner, Art Un	y (PTO-413), ate Iment/Comment nent of Reasons for Allowance

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**EXAMINER'S AMENDMENT** 

1. An examiner's amendment to the record appears below. Should the changes and/or

additions be unacceptable to Applicant, an amendment may be filed as provided by 37 CFR

1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the

payment of the issue fee.

The application has been amended as follows:

In the specification, on p. 2, line 8 [or] has been deleted before "each phase", and on p. 3,

line 34, following "means that", the redundant [that] has been deleted.

2. Applicant's attention is directed to the fact that there are no drawings on file in the instant

371 application. Therefore, for examination purposes, the drawings submitted with the

underlying PCT/FR03/03659 application have been used. In order to avoid abandonment of the

application, Applicant must submit separate drawings for the instant 371 application.

3. The following is an examiner's statement of reasons for allowance:

The allowability resides in the overall structure of the device as recited in sole

independent claim 1, and at least in part, because claim recites, inter alia: "a rod (4A) extending

between a first end (4B) provided with catch means (4C) and a second end (4D); and [...] the

second end (4D) of the rod (4A) is provided with a contact element (7) [...] the bimetallic strip

(6) being firstly arranged in the device so as to be sensitive to heat given off by the protector unit

(2)". The aforementioned limitations in combination with all remaining limitations of said claim

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1, are believed to render the claim an all claims dependent therefrom patentable over the art of record.

US/5,231,367 to Ikeda et al is believed to be the closest to the present invention, disclosed (Fig. 1) a protector device (31) for protecting electrical equipment, the device comprising: a protector unit (41-45) connected to the electrical equipment via a connection circuit (37, 38); said connection circuit comprising: a first connector (37) connected to the electrical equipment; a second connector (38) connected to the protector unit; and electric current interrupter means (41, 43) movable between a return position corresponding to the circuit being open-circuit, and a position corresponding to the circuit being closed; said interrupter means (41, 43) comprising: a rod (43) extending between a first end provided with catch means (41) and a second end; and said rod (43) being mounted to slide axially and resiliently between a first abutment position which is also a return position corresponding to the circuit being open-circuit, and a second abutment position corresponding to the circuit being closed; said catch means (41) co-operating with blocker means (44a, 45a) to hold the rod (43) in its second abutment position; said device further comprising a bimetallic strip (44, 45) wherein the *first* end of the rod (43) is provided with a contact element (41a) establishing electrical contact between the first and second connectors (37, 38) when the rod (43) is in its second abutment position, the bimetallic strip (44, 45) being firstly arranged in the device so as to be sensitive to heat produced due to the selfheating thereof, and being secondly designed in such a manner that when the temperature of the protector device (31) reaches a predetermined critical value, the bimetallic strip (44, 45) produces a deactivation force for deactivating the blocker means (44a, 45a) so as to cause the interrupter means (41, 43) to pass into its position in which the connection circuit is open-circuit.

Ikeda et al, however, has failed to disclose that the rod's second end is provided with the contact element and that the bimetallic strip is being arranged in the device so as to be sensitive to heat given off by the protector unit, as required by claim 1 of the instant application. Unlike the protector device of the instant invention, the Ikeda's et al protector device comprising the rod (43) having catch means (41) and the contact element (41a) both mounted on the same first end of said rod (43). Further, in the Ikeda's protector device the bimetallic strips (44, 45) are sensitive to the self-heating, unlike the bimetallic strips of the instant invention, which are sensitive to the ambient heat.

The additional references cited on PTO-892 teach various thermally actuated circuit interrupters employing bimetallic and meltable actuating elements.

None of the cited references either taken alone or in combination, is believed to render the present invention unpatentable as claimed.

Furthermore, Examiner has conducted the assignee and inventor name searches in order to identify documents with possible double patenting issues. No documents with conflicting claims have been identified.

4. Any comments considered necessary by Applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Any inquiry concerning this communication or earlier communications from the examiner should be directed to ANATOLY VORTMAN whose telephone number is (571)272-

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2047. The examiner can normally be reached on Monday-Thursday, between 10:00 am and 8:30

pm..

If attempts to reach the examiner by telephone are unsuccessful, the examiner's

supervisor, Mr. Jayprakash Gandhi can be reached on 571-272-3740. The fax phone number for

the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent

Application Information Retrieval (PAIR) system. Status information for published applications

may be obtained from either Private PAIR or Public PAIR. Status information for unpublished

applications is available through Private PAIR only. For more information about the PAIR

system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR

system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would

like assistance from a USPTO Customer Service Representative or access to the automated

information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Anatoly Vortman/

Primary Examiner, Art Unit 2835